



7-14-04

EXPRESS MAIL NO. EV335396355US

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# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

	Application Number	10/730,759
	Filing Date	December 8, 2003
	First Named Inventor	Philip H. Mellor
	Art Unit	2834
	Examiner Name	
	Attorney Docket No.	130209.491

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited Reference <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): <hr/> <hr/> <hr/>
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## Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Frank Abramonte	Customer Number
Signature		
Date	July 13, 2004	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EXPRESS MAIL NO. EV335396355US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip H. Mellor et al.  
Application No. : 10/730,759  
Filed : December 8, 2003  
For : ROTOR ASSEMBLY OF SYNCHRONOUS MACHINE

Art Unit : 2834  
Docket No. : 130209.491  
Date : July 13, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Applicants note that since the application filing date is after June 30, 2003, copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



Frank Abramonte  
Registration No. 38,066

Enclosures:

- Postcard
- Form PTO-1449
- Cited Reference (1)

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Sheet 1 of 2

FORM PTO-1440 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 130209.491	APPLICATION NO. 10/730,759
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS Philip H. Mellor et al.	
		FILING DATE December 8, 2003	GROUP ART UNIT 2834

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,486,679	12/04/84	Jones	310	218	
	AB	4,570,333	02/18/86	Jones	29	598	
	AC	4,916,346	04/10/90	Kliman	310	216	
	AD	4,918,831	04/24/90	Kliman	29	598	
	AE	5,159,220	10/27/92	Kliman	310	156	
	AF	5,672,926	09/30/97	Brandes et al.	310	181	
	AG	5,731,647	03/24/98	Schüller et al.	310	114	
	AH	5,838,086	11/17/98	Cuenot et al.	310	156	
	AI	5,936,323	08/10/99	Shibukawa et al.	310	156	
	AJ	5,962,944	10/05/99	Narita et al.	310	156	
	AK	5,990,591	11/23/99	Yamaguchi et al.	310	156	
	AL	6,008,559	12/28/99	Asano et al.	310	156	
	AM	6,034,459	03/07/00	Matsunobu et al.	310	156	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES
	AN	11-206051	07/30/99	JP (+ Abstract in English)	
	AO				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP		
AQ		
AR		

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 130209.491	APPLICATION NO. 10/730,759
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANTS		Philip H. Mellor et al.	
		FILING DATE December 8, 2003		GROUP ART UNIT 2834	

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	6,034,460	03/07/00	Tajima et al.	310	179	
	BB	6,087,751	07/11/00	Sakai	310	156	
	BC	6,133,662	10/17/00	Matsunobu et al.	310	156	
	BD	6,208,054	03/27/01	Tajima et al.	310	156	
	BE	6,211,593	04/03/01	Nashiki	310	156	
	BF	6,268,677	07/31/01	Takabatake et al.	310	156.55	
	BG	6,335,582	01/01/02	Abukawa et al.	310	214	
	BH	6,342,745	01/29/02	Sakai et al.	310	156.56	
	BI	6,353,275	03/05/02	Nishiyama et al.	310	156.53	
	BJ	2001/0002094	05/31/01	Tajima et al.	310	156.53	
	BK	2002/0036438	03/28/02	Nishiyama et al.	310	168	
	BL	2002/0047429	04/25/02	Kadoya et al.	310	156.39	
	BM	2002/0047436	04/25/02	Sakai et al.	310	156.56	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BN					
	BO					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	BP		
	BQ		
	BR		

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

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